Attorney's Docket No.: 13913-083001 / 2002P10217 US

# **APPLICATION**

# FOR

# UNITED STATES LETTERS PATENT

TITLE:

ACTIVATING ASSERTIONS AND BREAKPOINTS

APPLICANT:

ANDREAS BLUMENTHAL, WILLFRIED

EHRENSPERGER, MATHIAS HANBUCH AND WOLF

HAGEN THUEMMEL

CERTIFICATE OF	MAILING BY	<b>EXPRESS</b>	MAIL
CERTIFICATION			

Express Mail Label No. EV 321 388 694 US

September 9, 2003

Date of Deposit

#### **ACTIVATING ASSERTIONS AND BREAKPOINTS**

#### **BACKGROUND**

The present invention relates to computer programming and, more particularly, relates to activating assertions and breakpoints in computer programs.

A breakpoint is program code that halts the execution of a computer program. An assertion is code that tests whether a specified condition is true or false. Assertions and breakpoints are useful for consistency checks and debugging purposes. However, the execution of assertions can hurt performance, and the presence of breakpoints is undesirable in live applications.

#### SUMMARY OF THE INVENTION

The present invention provides methods and apparatus, including computer program products, for activating assertions and breakpoints.

In general, in one aspect, the invention provides methods and apparatus, including computer program products, for activating assertions and breakpoints. A program according to this aspect has instructions operable to establish any number of checkpoints in a first computer program; and include each checkpoint in a checkpoint group, wherein each checkpoint group can include any number of the checkpoints regardless of where the checkpoints are in the first computer program.

Advantageous implementations of the invention include one or more of the following features. The checkpoints comprise assertion statements and breakpoint statements. Each assertion statement when activated tests whether a specified assertion condition is true or false. Each breakpoint statement when activated halts program execution when it is encountered during program execution. The assertion statements comprise an assertion statement having an argument to activate logging with programmer-controlled granularity.

The program comprises instructions to establish activation variants to enable checkpoint groups or compilation units or both to be managed jointly.

The program comprises instructions to receive a control input activating a first checkpoint group and activate the checkpoints in the first checkpoint group. The control

5

10

15

10

15

20

25

input specifies a mode and the mode comprises one of activating checkpoints that are assertions to terminate on assertion failure, activating checkpoints that are assertions to log status on assertion failure, and activating checkpoints that are assertions to break in a debugger on assertion failure.

The program comprises instructions to receive a control input specifying a scope.

The scope specifies that activating is to be performed only for a particular user of the first computer program, that activating is to be performed only for a particular server on which the first computer program is running, or that activating is to be performed globally.

The program comprises instructions to establish a development environment for developing the first computer program in which the checkpoint groups are development objects.

The checkpoints and the first computer program are in a source code form. The checkpoints and the first computer program are in a compiled form.

The invention can be implemented to realize one or more of the following advantages. Assertions and breakpoints can be executed as part of the program code and can be activated dynamically during run time. Assertions and breakpoints can be activated as needed and then deactivated when they are no longer needed. This minimizes the burden on performance. The activation can be configured differently for assertions and breakpoints.

The use of checkpoint groups enables assertions and breakpoints to be managed independently of their location in the code. Any subset of the assertions and breakpoints in the code can be grouped together and managed collectively as a semantic unit. Breakpoints and assertions can be deactivated in live applications without removing them from the source code.

The details of one or more implementations of the invention are set forth in the accompanying drawings and the description below. Other features, aspects, and advantages of the invention will become apparent from the description, the drawings, and the claims.

## BRIEF DESCRIPTION OF THE DRAWINGS

- FIG. 1 is a block diagram of a system in accordance with the invention.
- FIG. 2 is a screen shot of a maintenance screen in accordance with the invention.

10

15

20

25

- FIG. 3 is a screen shot of a maintenance screen in accordance with the invention.
- FIG. 4 is a screen shot of a maintenance screen in accordance with the invention.
- FIG. 5 is a flow diagram of a method in accordance with the invention.

Like reference numbers and designations in the various drawings indicate like elements.

#### **DETAILED DESCRIPTION**

The present invention provides systems and methods, including computer program products, for organizing and activating assertions and breakpoints by name.

In this specification, assertions and breakpoints will be referred to collectively as checkpoints. Checkpoints are typically defined by statements in a program. A checkpoint can be made a member of a checkpoint group, by associating a checkpoint group name with the checkpoint. In source code, this can be done by providing for an optional argument to a checkpoint statement that names the checkpoint group. A checkpoint that can be activated, by activating a checkpoint group, for example, will be referred to as an "activatable" checkpoint. The selection of which checkpoint group a given checkpoint will belong to, if any, can be made independently of the location of the checkpoint, so that the structure of checkpoint groups is independent of the structure of the program code.

Any number of checkpoints can be in the same checkpoint group. Additional levels of grouping and management can be defined by using activation variants. The term "variant" is used here to refer to a persistable and transportable collection of values for parameterizing some kind of process. An "activation variant" is a persistable and transportable collection of values to parameterize the process of activating checkpoints with regard to a set of checkpoints to be activated (whether by checkpoint group, by compilation unit, or otherwise) and the behavior in case an assertion fails (e.g., terminate program execution, stop in debugger, or write to log and resume execution).

Activation variants enable multiple checkpoint groups to be managed jointly. For example, the checkpoint groups for all the checkpoints related to a particular use case in different programs can be brought together in a single activation variant. Activation of the activation variant activates all the checkpoint groups parameterized by the activation variant.

FIG. 1 shows a system 100 in accordance with the invention. The system 100 includes a maintenance module 110, an execution module 120, a debugger module 130, a trace module 140 and, optionally, a transport module 150. Although shown here as organized in these particular modules, the functionality can be organized in any fashion.

5

10

The maintenance module 110 manages and provides access to checkpoint groups and activation variants. The maintenance module 110 will be described in more detail below in reference to FIGs. 2-4. The execution module 120 executes program code. Any checkpoints in the program code are executed as part of the code. The debugger module 130 stops program execution and displays the contents of variables used by the program. The trace module 140 collects and stores information on events that occur during program execution. The information can include, for example, a time stamp and frequency. The trace module 140 stores the collected information in memory and periodically saves the information into a database.

15

The transport module 150 exports development objects (e.g., programs, checkpoint groups, and activation variants) from a source environment into a target environment that is remote from the source environment. The export might be done as part of a distribution process for distributing programs to end-user customers, for example. Out of caution, checkpoint groups are transported inactive, although settings could be transported if that were found to be desirable.

20

25

The source environment can be a development environment and the target environment can be a production environment. The checkpoint groups and activation variants are created as development objects, which are objects that can be created and managed by the development environment (e.g., data structures, functions, programs, screens, documentation, checkpoint groups, and activation variants). The checkpoint groups and activation variants can be used in the same manner as other development objects. This enables the checkpoint groups and activation variants to be managed using the development tools provided by the development environment (e.g., automatic object creation tools, navigation tools, where-used lists). This also enables the checkpoint groups and activation variants to be transported in the same manner as other development objects.

10

15

20

25

## Creating and Configuring Checkpoint Groups and Activation Variants

The maintenance module 110 provides a user interface through which application developers can create and configure checkpoint groups and activation variants. One implementation of the user interface is illustrated by FIGs. 2-4 and further described below.

As shown in FIG. 2, a main screen 200 displays a listing 210 of checkpoint groups and activation variants. The listing can be arranged in a hierarchical or tree-like directory structure.

The main screen 200 also displays one or more input fields that are used to receive control input specifying a checkpoint group or activation variant. The input fields can include an checkpoint group/activation variant name 220 field and a user name 230 field. The user name 230 field can be used to select a checkpoint group or activation variant that is only accessible by particular users.

The main screen 200 also displays one or more input fields 240 that are used to select an action to be performed on the selected checkpoint group or activation variant. The operations can include one or more of the following actions:

Create – Create a new checkpoint group or activation variant.

Modify – Modify the settings of a checkpoint group or activation variant.

Display – Display the settings of a checkpoint group or activation variant.

Delete – Delete a checkpoint group or activation variant.

Copy – Copy a checkpoint group or activation variant.

Activate – Activate a checkpoint group or activation variant.

Deactivate - Deactivate a checkpoint group or activation variant.

As shown in FIG. 3, a checkpoint group screen 300 displays the settings 310 for a particular checkpoint group. The settings determine the run-time behavior of the checkpoints in that checkpoint group. The settings include an activation status and mode. The status specifies whether the checkpoint group is active or inactive. The mode specifies how an activated assertion will behave on failure of its assertion condition.

From the checkpoint group screen 300, users can modify the values of the settings 310. The activation status can be set to active or inactive. If the activation status is set to

10

15

20

25

inactive, the checkpoints in the checkpoint group are ignored by the system during run time.

The mode can be set to one of the following values:

Halt – Pause execution of the program and initiate execution of a debugger for the program. This mode is appropriate for a developer using the program.

Terminate – Terminate execution of the program and generate a dump. This mode can be used when initiation of the debugger is not appropriate, for example, during actual production use of the program.

Log – Log information about the state of the program and continue execution of the program. This mode is appropriate for longer-term monitoring of the program, to locate errors that occur infrequently, for example.

A user can specify one mode that applies when the program is executed in the foreground processing and another mode that applies when the program is executed in the background – for example, Halt in foreground and Log in background.

From the checkpoint group screen 300, users can also set the activation scope 330. The scope of a particular activation can be restricted to a particular server or user. A server-specific activation allows the analysis of problems that occur only on certain servers. A user-specific activation enables a user to perform error analysis without affecting other users' use of the program.

From the checkpoint group screen 300, users can also view the trace output 320 collected for any of the checkpoints belonging to a given checkpoint group.

As shown in FIG. 4, an activation variant screen 400 enables users to view and modify settings for a particular activation variant. As described above, multiple checkpoint groups can be mapped to a single activation variant. In addition to checkpoint groups, other program elements can also be mapped to an activation variant. For example, compilation units (executable programs) can be mapped to a single activation variant. In this way, all the checkpoints in the corresponding compilation units can be controlled using a single name.

An activation variant can be specified to have a particular mode.

When the activation variant is activated, the activating user can select the scope of activation.

# Using Checkpoint Groups and Activation Variants in Program Code

The following paragraphs describe the use of checkpoint groups and activation variants in program code according to one implementation of the invention in the ABAP programming language. In this implementation, the programming language is extended to include the following assert statement:

ASSERT [ ID id [ SUBKEY subkey ] [ FIELDS f1 ... fn ] CONDITION ] logExpr

The ID parameter specifies the checkpoint group name (which identifies the group) to be associated with the assertion. The CONDITION parameter specifies the condition that is tested by the assertion.

The following example illustrates use of the CONDITION parameter to test whether a = b:

ASSERT a = b

The FIELDS and SUBKEY parameters are used by the trace module 140 (FIG. 1) for logging. The logged data can be the value of a field, for example, a and b, as illustrated below:

#### ASSERT FIELDS a b CONDITION a = b

Alternatively, the logged data can be the value of an expression, for example, the value of a+b-c, as illustrated below:

ASSERT ID my\_id

SUBKEY lcl my assertion=>offset

FIELDS a+b-c

CONDITION ( lcl my assertion=> state\_okay( x = a y = b z = c ) = true )

CLASS lcl my assertion DEFINITION:

PUBLIC SECTION.

CLASS-METHODS state\_okay

**IMPORTING** 

x TYPE i

y TYPE i

z TYPE i

7

20

25

15

5

#### RETURNING

value(result) TYPE bool.

CLASS-DATA offset(11) TYPE c READ-ONLY.

ENDCLASS.

5

10

-15

20

25

CLASS lcl my assertion IMPLEMENTATION.

METHOD state okay.

DATA offset i TYPE i.

offset i = x + y - z.

IF offset i = 0.

result = true.

ELSE.

MOVE offset i TO offset.

result = false.

ENDIF.

ENDMETHOD.

ENDCLASS.

The SUBKEY can be set to indicate that for each distinct value of the named field, a log entry is made. In one implementation, the default behavior is to update the log entry on each assertion failures so that the entry shows only the last set of field values and a count of the number of failures; in this context, the SUBKEY argument can be used to control the granularity of logging and aggregate logging in a programmer-controlled way.

### Run-time Behavior

As shown in FIG. 5, during run time, the system receives a computer program whose code contains checkpoints associated with one or more checkpoint groups (510). Each checkpoint group can include any number of assertions and any number of breakpoints. The code can also contain checkpoints that are not part of any checkpoint group.

The system can receive user input specifying one or more checkpoint groups or compilation units to be activated (520), including their mode and scope. These can be

10

15

20

25

30

specified directly or through activation variants. When such user input is received, the system activates each of the specified groups (530). This causes the checkpoints in the specified groups to be activated dynamically.

The system executes the program code (540). Non-activatable checkpoints are always executed. Activatable checkpoints are executed only when active. In one implementation, an activatable assertion or breakpoint is guarded by a lookup process to determine the activation status of the associated checkpoint group. If it is active, then the assertion or breakpoint is executed.

To make the lookup operation efficient, information about checkpoint groups is included with a compiled program. This information is used when the program is loaded, at which time the status of the associated checkpoint groups is checked and the status is cached. (In this implementation, if a user changes the status of a checkpoint group, the change is not effective until the next time the program is executed, i.e., the next time it is loaded.) At the same time, whether any compilation unit is active is also checked. From this information, when a compilation unit is loaded, a flag is set if all checkpoint groups in the compilation unit are inactive.

The lookup process first checks the flag for the compilation unit in which the current checkpoint is found. If it is set, the process is done and the current checkpoint is not executed. Otherwise, the process checks whether all checkpoints are activated. If so, the process is done and the current checkpoint is executed. Otherwise, the individual checkpoint groups are checked to determine whether the current checkpoint is activated. To make this efficient, checkpoint group names are initially mapped to indices that are used for a fast lookup of the corresponding group status.

Some system architectures allow one program to run another program through a remote call facility. In one implementation, an extension is provided to the remote call facility that transfers the caller's activation status information to the callee program environment, so that the activation status of the caller will apply when the callee program is executed for the caller, as has been described. In this implementation, whether the activation status is transferred is controlled by the user as part of the activation process (otherwise described in reference to FIG. 3), and the activation can optionally be defined so that the user

can determine whether it applies to all callee programs or only to callee programs on the same server as the caller.

The invention can be implemented in digital electronic circuitry, or in computer hardware, firmware, software, or in combinations of them. The invention can be implemented as a computer program product, i.e., a computer program tangibly embodied in an information carrier, e.g., in a machine-readable storage device or in a propagated signal, for execution by, or to control the operation of, data processing apparatus, e.g., a programmable processor, a computer, or multiple computers. A computer program can be written in any form of programming language, including compiled or interpreted languages, and it can be deployed in any form, including as a stand-alone program or as a module, component, subroutine, or other unit suitable for use in a computing environment. A computer program can be deployed to be executed on one computer or on multiple computers at one site or distributed across multiple sites and interconnected by a communication network.

15

5

10

Method steps of the invention can be performed by one or more programmable processors executing a computer program to perform functions of the invention by operating on input data and generating output. Method steps can also be performed by, and apparatus of the invention can be implemented as, special purpose logic circuitry, e.g., an FPGA (field programmable gate array) or an ASIC (application-specific integrated circuit).

20

Processors suitable for the execution of a computer program include, by way of example, both general and special purpose microprocessors, and any one or more processors of any kind of digital computer. Generally, a processor will receive instructions and data from a read-only memory or a random access memory or both. The essential elements of a computer are a processor for executing instructions and one or more memory devices for storing instructions and data. Generally, a computer will also include, or be operatively coupled to receive data from or transfer data to, or both, one or more mass storage devices for storing data, e.g., magnetic, magneto-optical disks, or optical disks. Information carriers suitable for embodying computer program instructions and data include all forms of non-volatile memory, including by way of example semiconductor memory devices, e.g., EPROM, EEPROM, and flash memory devices; magnetic disks such as internal hard disks

30

10

15

20

and removable disks; magneto-optical disks; and CD-ROM and DVD-ROM disks. The processor and the memory can be supplemented by, or incorporated in special purpose logic circuitry.

To provide for interaction with a user, the invention can be implemented on a computer having a display device such as a CRT (cathode ray tube) or LCD (liquid crystal display) monitor for displaying information to the user and a keyboard and a pointing device such as a mouse or a trackball by which the user can provide input to the computer. Other kinds of devices can be used to provide for interaction with a user as well; for example, feedback provided to the user can be any form of sensory feedback, such as visual feedback, auditory feedback, or tactile feedback; and input from the user can be received in any form, including acoustic, speech, or tactile input.

The invention can be implemented in a computing system that includes a back-end component, e.g., as a data server, or that includes a middleware component, e.g., an application server, or that includes a front-end component, e.g., a client computer having a graphical user interface or an Web browser through which a user can interact with an implementation of the invention, or any combination of such back-end, middleware, or front-end components. The components of the system can be interconnected by any form or medium of digital data communication, e.g., a communication network. Examples of communication networks include a local area network, a wide area network, and the Internet.

The invention has been described in terms of particular embodiments. Other embodiments are within the scope of the following claims. For example, the steps of the invention can be performed in a different order and still achieve desirable results.

What is claimed is: